



# STOCK HOLDING CORPORATION OF INDIA LTD

301, Centre Point, Dr. Babsaheb Ambedkar Road, Parel, Mumbai -400 012  
SEBI Reg No. IN-DP-CDSL-01-99

## APPLICATION FORM FOR OPENING DEMAT ACCOUNT CENTRAL DEPOSITORY SERVICES (INDIA)LIMITED.

(To be filled by the Depository Participant)

Application No.		Date	D	D	M	M	Y	Y	Y	Y
DP Internal Reference No.										
DP ID		Client ID								

(To be filled by the applicant in BLOCK LETTERS in English)

We request you to open a Demat Account in our name as per the following details: -

Type of Account (Please tick whichever is applicable)		Sub – Status
<b>Status</b> <input type="checkbox"/> Body Corporate <input type="checkbox"/> Banks <input type="checkbox"/> Trust <input type="checkbox"/> Mutual Fund <input type="checkbox"/> OCB <input type="checkbox"/> FII <input type="checkbox"/> CM <input type="checkbox"/> FI <input type="checkbox"/> Clearing House <input type="checkbox"/> Other (Specify)		To be filled by the DP

Name			
Search Name			
Correspondence Address			
City	State		
Country	PIN		
Telephone No.	Fax No.		
PAN			
E-mail ID			
Registered Office address (if different from Correspondence Address)			
City	State		
Country	PIN		
Telephone No.	Fax No.		
E-mail ID			

### Other Holders – Second Holder Details

First Name			
Middle Name			
Last Name			
Father / Husband Name			
Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other	Suffix	
Permanent Address			
City	State		
Country	PIN		
PAN			
Date Of Birth	D	D	M
E-mail ID	M	Y	Y
Telephone no.	Fax No.	Mobile No.	

### Other Holders – Third Holder Details

First Name			
Middle Name			
Last Name			
Father / Husband Name			
Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other	Suffix	

Permanent Address											
City		State									
Country		PIN									
PAN											
Date Of Birth		D	D	M	M	Y	Y	Y	Y		
E-mail ID											
Telephone no.	Fax no.				Mobile no.						

Date of Incorporation	D	D	M	M	Y	Y	Y	Y					
SEBI Registration No. (If Applicable)					SEBI Registration date	D	D	M	M	Y	Y	Y	Y
ROC Registration No. (If Applicable)					ROC Registration date	D	D	M	M	Y	Y	Y	Y
RBI Registration No. (If Applicable)					RBI Approval date	D	D	M	M	Y	Y	Y	Y
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (specify)												
I / We authorize you to receive credits in my / our account without any instruction from me / us.										[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No			
Account Statement Requirement	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly												

Do you wish to receive dividend / interest directly in to your bank account given below through ECS?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
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Bank Details [Dividend Bank Details]

Bank Code (9 digit MICR code)												
Bank Name												
Branch												
Bank Address												
City	State				Country				PIN			
Account number												
Account type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others (specify)											

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the Bank Statement having name and address of the BO and not more than 4 months old, (or)
- (iii) Photocopy of the Passbook having name and address of the BO, (or)
- (iv) Letter from the Bank.
  - In case of option (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document and it should be self-certified by the BO.

For OCBs

Foreign Address											
City		State									
Country		PIN									
Telephone No.		Fax No.									
E-mail ID											
Indian Address											
City		State									
Country		PIN									
Telephone No.		Fax No.									
E-mail ID											

Currency										
RBI Reference No.		RBI Approval Date	D	D	M	M	Y	Y	Y	Y

Clearing Members Details (To be filled by CMs only)

Name of the Stock Exchange	
Name of the CC / CH	
Trading Id	
Clearing Member ID	

Name *	_____
* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.	

Additional Details:

SMS Alert Facility	<input type="checkbox"/> Yes MOBILE NO. +91 _____ Refer to Terms & Conditions given as Annexure - 2.4	<input type="checkbox"/> No
<b>easi</b>	<input type="checkbox"/> Yes. If yes, please contact your DP for details [Facility through CDSL's website: <a href="http://www.cdslindia.com">www.cdslindia.com</a> wherein a BO can view his ISIN balances, transactions and value of the portfolio online.]	<input type="checkbox"/> No

Details For Joint - Second Holder	
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (specify)
Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female
Occupation	Service { <input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify) -----}
Nature of business: (Products / services provided)	

Details For Joint – Third Holder	
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (specify)
Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female
Occupation	Service { <input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify) -----}
Nature of business: (Products / services provided)	

I/We have read the DP-BO agreement (DP-CM agreement for BSE Clearing Member Accounts) including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First / Sole Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Name			
Designation			
Signature			
Passport size Photograph	(Please sign across the photograph)	(Please sign across the photograph)	(Please sign across the photograph)

===== Please Tear Here =====  
(Perforated Card)

DP ID									Client ID							
	First Authorised Signatory				Second Authorised Signatory				Third Authorised Signatory							
Name																
Specimen Signature																

===== Please Tear Here =====  
(To be filled by the Depository Participant)

**Acknowledgement Receipt**

We hereby acknowledge the receipt of the Account Opening Application Form from: -

Name of the Sole / First Holder	
Name of the Second Holder	
Name of the Third Holder	

Depository Participant Seal and Signature

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BOARD RESOLUTION (Format )

(On the Company Letterhead )

Certified true copy of Board Resolution of the Board of Directors of \_\_\_\_\_ passed at a meeting of the Board held on \_\_\_\_\_ at Registered Office of Company at \_\_\_\_\_ and duly recorded in the Minute Book of the company.

"Resolved that a Beneficiary Account for the company be opened with STOCK HOLDING COPORATION OF INDIA LTD. in the name and style of \_\_\_\_\_ for dealing in the Depository /Electronic segment of the various Stock Exchanges and \_\_\_\_\_  
Be hereby authorised to negotiate and finalise the terms and conditions for opening the account and completing the formalities ."

"Further resolved that either of \_\_\_\_\_ be individually authorised to operate on behalf of the company .

Further resolved that the said resolution be communicated to STOCK HOLDING COPORATION OF INDIA LTD. By the Chairman of the Meeting , under the common seal of the company .

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(To be filled in by SHCIL)

I verify that the Account Opening form is in order

Name :

Employee Code :

Signature :

Date

Checked by
Entered in CDSL
Authenticated in CDSL
Released in CDAS

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(To be filled by the Depository Participant )

**ACKNOWLEDGEMENT RECEIPT**

We hereby acknowledge the receipt of the Account Opening Application Form :

Name of Sole/First Holder	
Name of Second Holder	
Name of Third Holder	

Depository Participant Seal and Signature

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Instruction for the Applicants/BO's for account opening

1. Signature can be in English or Hindi or any other languages in the 8<sup>th</sup> schedule of the Constitution of India . Thumb Impression and signatures other the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.
2. Signature should be preferably in black ink .
3. Details of Names,Address , and Tel Number(s).etc. of the Magistrate/Notary Public /Special Executive Magistrate are to be provide in the case of attestation done by them.
4. In case of additional signatures (for account anf other than individuals), separate annexures should attached to the application form .
5. Incase of application under Power of Attorney, the relevant Power of Attorney or the certified copy thereof, must be lodged along with application .
6. All correspondence /queries shall be addressed to the first/sole applicant .

Strike off whichever is not applicable.



STOCK HOLDING COPORATION OF INDIA LTD.  
[www.shcil.com](http://www.shcil.com)

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**Agreement Between A Participant And A Person Seeking  
To Open A Beneficial Owner's Account**

This Agreement made and entered into at \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_  
between Stock Holding Corporation of India Ltd. having its registered office at 301, Centre Point,  
Dr. Babasaheb Ambedkar Road, Parel, Mumbai – 400 012, hereinafter called "the Participant" of  
the One Part; AND \_\_\_\_\_  
having his/ its office/ registered office/address at \_\_\_\_\_,  
\_\_\_\_\_ ,  
hereinafter called "**the Beneficial Owner**" of the Other Part.

Initials of applicants -

X

X

X

WHEREAS the Beneficial Owner is desirous of opening a beneficial owner's account with the Participant and the Participant has agreed to open an account in the name of the Beneficial Owner and render services to the Beneficial Owner as a participant, on the terms and conditions recorded hereinbelow:

NOW THIS AGREEMENT WITNESSETH AND IT IS HEREBY AGREED BY AND BETWEEN THE PARTIES HERETO AS FOLLOWS:

**General Clause**

1. The parties hereto agree to abide by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Bye Laws and Operating Instructions issued by CDSL from time to time in the same manner and to the same extent as if the same were set out herein and formed part of this Agreement.

**Fees, Charges and deposits**

2. The Participant shall be entitled to change or revise the fees, charges or deposits from time to time provided however that no increase therein shall be effected by the Participant unless the Participant shall have given at least one month's notice in writing to the Beneficial Owner in that behalf. In case the Beneficial Owner committing a default in the payment of any such amount payable to the Participant on their respective due dates or within fifteen days of the same being demanded (where no such due date is specified), the Participant shall be entitled to charge interest on the amount remaining outstanding or unpaid not exceeding 18% per annum or part thereof. On such continued default, the Participant after giving two days notice to the Beneficial Owner shall have a right to stop processing of instructions of Beneficial Owners till such time he makes the payment along with interest if any.
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### **Statement of Account**

3. The Participant shall furnish to the Beneficial Owner a statement of his/its account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each quarter.

The statement of accounts to be provided to the Beneficial Owner under this Agreement shall be in the form specified in Operating Instructions or as communicated by CDSL from time to time. The Participant shall furnish such statements at such shorter periods as may be required by the Beneficial Owner on payment of such charges by the Beneficial Owner as may be specified by the Participant.

It is agreed that if a Participant is providing the services of issuing the statement of accounts in an electronic mode and if the Beneficial Owner is desirous of availing such services from the Participant, then the Participant will furnish to the Beneficial Owner the statement of accounts under its digital signature, as governed under the Information Technology Act, 2000.

Provided however that in the event of the Beneficial Owner requiring a physical copy of the statement of accounts, despite receiving the same in the electronic mode, then irrespective of having forwarded the same via electronic mode, the Participant shall be obliged to provide a physical copy thereof to the BO.

It is agreed and understood between the parties that if the Participant does not have the facility of providing the statement of account in the electronic mode, then the Participant shall be obliged to forward the statement of account only in physical form.

### **Beneficial Owner shall intimate change of particulars**

4. The Participant shall not be liable or responsible for any loss that may be caused to the Beneficial Owner by reason of his/its failure to intimate change in the particulars furnished to the Participant from time to time, unless notified by the Beneficial Owner.

### **Participant not liable for claims against Beneficial Owner**

5. CDSL shall not be liable to the Beneficial Owner in any manner towards losses, liabilities and/ or expenses arising from the claims of third parties or for any fees, charges, taxes, duties, levies or penalties levied, imposed or demanded by any.

### **Authorised Representative**

6. Where the Beneficial Owner is a body corporate or a legal entity, it shall, simultaneously with the execution of the Agreement furnish to the Participant, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.
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### **Closure of Account**

7. The Beneficial Owner, may, at any time terminate this Agreement by calling upon the Participant to close his/its account with the Participant in the manner and on the terms and conditions set out in the Bye Laws and the procedure laid down in the Operating Instructions. In the event of termination of this Agreement the Beneficial Owner shall either get the securities transferred to some other account or get the same rematerialised.

### **Stamp Duty**

8. Any stamp duty (including interest or penalty levied thereon) payable on the Agreement and/or on any deed, document or writing executed in pursuance hereof between the parties hereto shall be borne and paid by the Beneficial Owner.

### **Force Majeure**

9. Notwithstanding anything contained herein or in the Bye Laws, neither party hereto shall be liable to indemnify or compensate the other for any breach, non-performance or delay in performance of any obligations under the Agreement or for any harm, loss, damage or injury caused to the other due to causes reasonably beyond its control including but not limited to tide, storm, cyclone, flood, lightning, earthquake, fire, blast, explosion or any other act of God, war, rebellion, revolution, insurrection, embargo or sanction, blockade, riot, civil commotion, labour action or unrest including strike, lock-out or boycott, interruption or failure of any utility service, enemy action, criminal conspiracy, act of terrorism or vandalism, sabotage, or intrusion, or any other irresistible force or compulsion.

### **Service of Notice**

10. Any notice or communication required to be given under the Agreement shall not be binding unless the same is in writing and shall have been served by delivering the same at the address set out hereinabove against a written acknowledgement of receipt thereof or by sending the same by pre-paid registered post at the aforesaid address or transmitting the same by facsimile transmission, electronic mail or electronic data transfer at number or address that shall have been previously specified by the party to be notified. Notice given by personal delivery shall be deemed to be given at the time of delivery. Notice given by post in accordance with this clause shall be deemed to be given at the commencement of business of the recipient of the notice on the third working day next following its posting. Notice sent by facsimile transmission, electronic mail or electronic data transfer shall be deemed to be given at the time of its actual transmission.

### **Arbitration**

11. The parties hereto shall, in respect of all disputes and differences that may arise between them, abide by the provisions relating to arbitration and conciliation specified under the Bye Laws.
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**Jurisdiction**

12. The parties hereto agree to submit to the exclusive jurisdiction of the courts in \_\_\_\_\_.

**Governing Law**

13. The Agreement shall be governed by and construed in accordance with the laws in force in India.

IN WITNESS WHEREOF the parties hereto have hereunto set and subscribed their respective hands/ seals to this Agreement in duplicate on the day, month, year and place first hereinabove mentioned.

SIGNED AND DELIVERED )  
by the withinnamed the )  
Participant )  
by the hand of its authorised )  
representative Shri..... )  
..... )  
in the presence of..... )

SIGNED AND DELIVERED )  
by the withinnamed the )  
Beneficial Owner ) X  
----- )  
in the presence of \_\_\_\_\_ ) X X

X

X